

Notice of References Cited

Application/Control No.

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Applicant(s)/Patent Under
Reexamination
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Examiner

Scott S. Trotter

Art Unit

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-6,876,981	04-2005	Berckmans, Philippe E.	705/35
*	B	US-5,025,391	06-1991	Filby et al.	706/45
	C	US-			
	D	US-			
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	I	US-			
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	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Barron's Dictionary of Finance and Investment Terms Fourth Edition Copyright 1995
	V	Charles Schwab website About Mutual Fund Investing at Schwab March 4, 2000
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
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